



03-20-1213 Rec'd PCT/PTO 17 MAR 2006

Docket No. 4439-4032

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Toshiyuki TAKAI et al.

Group Art Not Yet Determined

Serial No.: 10/533,700

Examiner: Not Yet Determined

Filed: April 26, 2005

For: NONHUMAN MODEL ANIMAL SUFFERING FROM GUILLAIN-BARRE
SYNDROME AND/OR FISHER SYNDROME

EXPRESS MAIL CERTIFICATE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Express Mail Label No.: EV 497655645 US

Date of Deposit: March 17, 2006

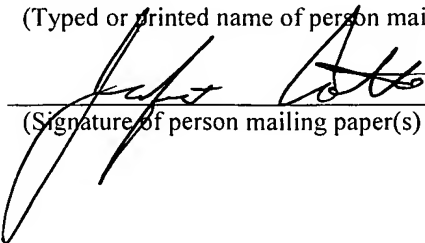
I hereby certify that the following attached paper(s) and/or fee

1. Copy of Notification of Defective Response
2. Reply to Notification of Defective Response (2 copies)
3. Petition under 37 C.F.R. §1.182: Request to Accept Correct
Inventor's Name at Entry of National Phase (2 copies)
4. Executed Affidavit of Akiko Sugahara under 37 C.F.R. §1.182
5. Return Receipt Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Jafet Cotto

(Typed or printed name of person mailing papers(s) and/or fee)


(Signature of person mailing paper(s) and/or fee)

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
3 World Financial Center
New York, NY 10281-2101
(212) 415-8700 Telephone
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/533,700✓	FIRST NAMED APPLICANT Toshiyuki Takai	ATTY. DOCKET NO. 4439-4032
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INTERNATIONAL APPLICATION NO. PCT/JP03/13958	
I.A. FILING DATE 10/29/2003	PRIORITY DATE

27123
MORGAN & FINNEGAN, L.L.P.
3 WORLD FINANCIAL CENTER
NEW YORK, NY 10281-2101

CONFIRMATION NO. 6164

371 FORMALITIES LETTER



OC000000018084062

Case 4439-4032 Atty maw

Due Date _____

Statutory Date 5-11-06

Date Mailed: 02/17/2006

By Am

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Copy of the International Application filed on 04/26/2005
- English Translation of the IA filed on 04/26/2005
- Copy of the International Search Report filed on 04/26/2005
- Copy of IPE Report filed on 04/26/2005
- Preliminary Amendments filed on 04/26/2005
- Information Disclosure Statements filed on 04/26/2005
- Oath or Declaration filed on 10/28/2005
- Request for Immediate Examination filed on 04/26/2005
- Copy of references cited in ISR filed on 04/26/2005
- U.S. Basic National Fees filed on 04/26/2005
- Priority Documents filed on 04/26/2005

Applicant's response filed 10/28/2005 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 10/11/2005 have not been completed.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - Declaration mailed on 28 October 2005 is not executed by Sugawara, Syoko. Akiko Sugahara is not listed on the International Application.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

02/22/06 11:05 AM F M

RECEIVED - ADDITIONAL DEPT.

DATE 2/28/06 BY [Signature]

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/533,700	PCT/JP03/13958	4439-4032

FORM PCT/DO/EO/916 (371 Formalities Notice)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Toshiyuki TAKAI et al.	Group Art	Not Yet Determined
Serial No.:	10/533,700	Examiner:	Not Yet Determined
Filed:	April 26, 2005		
For:	NONHUMAN MODEL ANIMAL SUFFERING FROM GUILLAIN-BARRE SYNDROME AND/OR FISHER SYNDROME		

REPLY TO NOTIFICATION OF DEFECTIVE RESPONSE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


Sir:

In response to the Office communication dated February 17, 2006 regarding a defective declaration, applicants herewith submit a Petition To Accept Correct Inventor's Name At Entry of National Phase, and a signed Affidavit of co-inventor, Akiko Sugahara, Under 37 C.F.R. §1.182 indicating that "Syoko Sugawara" was incorrectly listed as a co-inventor on the International Application (PCT/JP2003/013958) and that the person identified as "Syoko Sugawara" is "Akiko Sugahara," as correctly named in the corresponding above-identified United States national phase application and the executed Declaration submitted to the USPTO on October 28, 2005. This error was made without deceptive intent.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. **13-4500**, Order No. **4439-4032**. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: March 17, 2006

By: 
Michael A. Willis
Registration No. 53,913

Correspondence Address:

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Toshiyuki TAKAI et al. Group Art Unit : Not Yet Determined
Serial No. : 10/533,700 Examiner : : Not Yet Determined
Filed : April 26, 2005
For : NONHUMAN MODEL ANIMAL SUFFERING FROM GUILLAIN-BARRE
SYNDROME AND/OR FISHER SYNDROME

AFFIDAVIT OF AKIKO SUGAHARA UNDER 37 C.F.R. §1.182

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. My name is Akiko Sugahara.
2. I am a co-inventor along with the other named inventors, Toshiyuki Takai, Akira Nakamura, and Kaori Yajima, of the invention described and claimed in International Application (PCT/JP2003/013958) and the corresponding above-identified United States national phase application.
2. The International Application (PCT/JP2003/013958) incorrectly states my name, including the English translation, as "Syoko Sugawara." I believe that this error was made without deceptive intent.
3. My name was correctly stated as "Akiko Sugahara," in the national phase application filed in the United States (U.S.S.N. 10/533,700), including in the Declaration which I signed on May 9, 2005 and which was submitted to the USPTO on October 28, 2005.
4. I hereby further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

March 15, 2006
Date

Akiko Sugahara
Akiko Sugahara

2005 and which was submitted to the USPTO on October 28, 2005 is Akiko Sugahara, Toshiyuki Takai, Akira Nakamura, and Kaori Yajima

5. Submitted herewith is a statement of verified facts in the form of an Affidavit Under 37 C.F.R. § 1.182 by Akiko Sugahara, indicating that her name was incorrectly stated in the International Application, that this error was made without deceptive intent, and that her name was correctly stated in the above-identified United States national phase application as well as in the executed Declaration signed by Akiko Sugahara on May 9, 2005 and which was submitted to the USPTO on October 28, 2005.

6. The error in listing "Syoko Sugawara" instead of "Akiko Sugahara" as a co-inventor of International Application (PCT/JP2003/013958) was made without deceptive intent.

7. Accordingly, applicants request acceptance of the executed Declaration submitted to the USPTO on October 28, 2005.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. **13-4500**, Order No. **4439-4032**. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: March 17, 2006

By: Michael A. Willis
Michael A. Willis
Registration No. 53,913

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